

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Timothy Kirk GALLAGHER et al.

Serial No: 10/541,086

Confirmation No.: 5128

Filed: March 11, 2007

For: Secreted Protein Factor and Cell
Membrane-Bound Splice Variant

Art Unit: 1647

Examiner: Lockard, Jon McClelland

TRANSMITTAL LETTER

Mail Stop Assignment Recordation Services
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

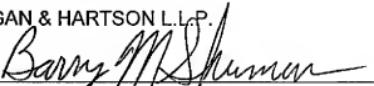
Transmitted herewith is a Request for Corrected Notice of Recordation of Assignment Document, with copies of a previously submitted Request for Corrected Notice of Recordation of Assignment Document dated October 22, 2008, and the November 13, 2007 Untied States Patent and Trademark Office Notice of Recordation of Assignment Document.

The Commissioner is hereby authorized to charge any deficiencies of fees associated with this communication or credit any overpayment to Deposit Account No. 50-1314.

Respectfully submitted,

HOGAN & HARTSON L.L.P.

Date: November 18, 2008

By: 
Barry M. Shuman, Ph.D.
Registration No. 50,220
Attorney for Applicant(s)

1999 Avenue of the Stars, Suite 1400
Los Angeles, CA 90067
Phone: (310) 785-4600
Fax: (310) 785-4601

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Timothy Kirk GALLAHER et al.

Serial No: 10/541,086

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For: Secreted Protein Factor and Cell
Membrane-Bound Splice Variant

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Examiner: Lockard, Jon McClelland

**REQUEST FOR CORRECTED NOTICE OF
RECORDATION OF ASSIGNMENT
DOCUMENT**

Mail Stop Assignment Recordation Services
Director of the U.S. Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

On October 22, 2008, a Request for Corrected Notice of Recordation of Assignment Document (copy attached) was filed via the United States Post Office to correct the name of the Assignee and was accompanied by a self-stamped postcard to be returned to this firm as acknowledgement of the receipt by the United States Patent and Trademark Office of these papers. The fee of \$40.00 was paid to the United States Patent and Trademark Office with this previously submitted Request, as well. We never received the postcard back and do not see in PAIR that these papers were ever received in the office of the Assignment Recordation Services.

Request is now hereby made for correction of the Notice of Recordation of Assignment Document for the above-identified application. Please note that the Assignee name is incorrect and should read as follows: "University of Southern California" and not "University of Southern California/USC Stevens." A copy of the United States Patent and Trademark Office Notice of Recordation of Assignment Document dated November 13, 2008 is also attached.

The Commissioner is hereby authorized to charge any deficiency for the fees associated with this communication or credit any overpayment to Deposit Account No. 50-1314.

Respectfully submitted,

HOGAN & HARTSON L.L.P.

Date: November 18, 2008

By: Barry M. Shuman

Barry M. Shuman
Registration No. 50,220

1999 Avenue of the Stars Suite 1400
Los Angeles, California 90067
Telephone: 310-785-4600
Facsimile: 310-785-4601

COPY

S.N. 10/541,086 File No. 89188.0115 Date Mailed 10/22/08 By: LJM/DSZ

Title: "Secreted Protein Factor and Cell Membrane-Bound Splice Variant"

(Client Name) University of Southern California

The Following, due in the U.S. Patent & Trademark Office was received in the Patent & Trademark Office on the date stamped hereon:

- Request for Corrected Notice of Recordation of Assignment
- Copy of Patent Assignment Abstract of Title
- Copy of Recordation Cover Sheet and executed Assignment

Check No. _____	for \$ _____	Priority _____
Check No. _____	for \$ _____	_____
_____	_____	_____

COPY

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Timothy Kirk GALLAHER et al.

Serial No: 10/541,086

Confirmation No.: 5128

Filed: March 11, 2007

For: SECRETED PROTEIN FACTOR
AND CELL MEMBRANE-BOUND
SPLICING VARIANT

Art Unit: 1647

Examiner: Lockard, Jon McClelland

I hereby certify that this correspondence is
being deposited with the United States Postal
Service with sufficient postage as first class
mail in an envelope addressed to:
Mail Stop Assignment Recordation Services
Director of the U.S. Patent and Trademark
Office
P.O. Box 1450
Alexandria, VA 22313-1450, on
10/22/08
Date of Deposit
Diane Zynn
Name
Diane Zynn
Signature
Date

**REQUEST FOR CORRECTED NOTICE OF
RECORDATION OF ASSIGNMENT
DOCUMENT**

Mail Stop Assignment Recordation Services
Director of the U.S. Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Request is hereby made for correction of the Notice of Recordation of Assignment Document (copy enclosed) for the above-identified application. Please note that the Assignee name is incorrect and it should read as follows: "University of Southern California" and not "University of Southern California/USC Stevens."

The fee of \$40.00 was paid to the United States Patent and Trademark Office with the previously submitted Request for Notice of Recordation of Assignment Document dated November 13, 2007 (copy enclosed). The Commissioner is hereby authorized to charge any deficiency for the fees associated with this communication or credit any overpayment to Deposit Account No. 50-1314. A copy of this sheet is enclosed.

Respectfully submitted,

HOGAN & HARTSON LLP.

By: Barry M. Shuman

Barry M. Shuman
Registration No. 50,220

1999 Avenue of the Stars Suite 1400
Los Angeles, California 90067
Telephone: 310-785-4600
Facsimile: 310-785-4601



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Assignments on the Web > Patent Query

Patent Assignment Abstract of Title

NOTE: Results display only for issued patents and published applications. For pending or abandoned applications please consult USPTO staff.

Total Assignments: 1

Patent #:	NONE	Issue Dt:	Application #:	10541086	Filing Dt:	03/11/2007
Publication #:	20070160985	Pub Dt:	07/12/2007			
Inventors:	Timothy Kirk Gallaher, Lena A. Bealle, Kai-Jin Wu, Yi Zhao, Unnati Jariwala					
Title:	SECRETED PROTEIN FACTOR AND CELL MEMBRANE-BOUND SPLICE VARIANT					

Assignment: 1

Reel/Frame:	020104/0060	Recorded:	11/13/2007	Pages:	8
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Conveyance: ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

Assignors: GALLAHER, TIMOTHY KIRK

Exec Dt: 07/03/2007

BASILE, LENA A.

Exec Dt: 07/03/2007

WU, KAI-JIN

Exec Dt: 07/20/2007

JARIWALA, UNNATTI

Exec Dt: 07/25/2007

ZHAO, YI

Exec Dt: 09/19/2007

Assignee: UNIVERSITY OF SOUTHERN CALIFORNIA/USC STEVENS

3740 S. MCCLINTOCK AVENUE

HUGHES CENTER SUITE EEB 131

LOS ANGELES, CALIFORNIA 90089-2561

Correspondent: HOGAN & HARTSON L.L.P.

1999 AVENUE OF THE STARS

SUITE 1400

LOS ANGELES, CA 90067

COPY

Search Results as of: 10/10/2008 12:08 PM
If you have any comments or questions concerning the data displayed, contact PRD / Assignments at 571-272-3350. v.2.0.1
Web interface last modified: April 20, 2007 v.2.0.1

RECORDATION FORM COVER LETTER
PATENTS ONLY

Attorney Docket No.: 89188.0115

To the Assistant Commissioner for Patents: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Timothy Kirk Gallaher
Lena A. Basile
Kai-Jin Wu
Yi Zhao
Unnati Jariwala

Additional name(s) of conveying party(ies) attached? Yes No

3. Nature of conveyance:

Assignment Merger
 Security Agreement Change of Name
 Other _____

Execution Dates: July 3, 2007; July 3, 2007; July 20, 2007;
September 19, 2007; July 25, 2007

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s) 10/541,086

B. Patent No.(s)

Additional numbers attached? Yes No

5. Name and address of party to whom correspondence concerning document should be mailed:

Lawrence J. McClure, Esq.
HOGAN & HARTSON LLP.
1999 Avenue of the Stars, Suite 1400
Los Angeles, CA 90067

6. Total number of applications and patents involved: [1]

7. Total fee (37 CFR 3.41) \$40.00

Enclosed
 Authorized to be charged to deposit account

8. Deposit account number:

50-1314

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing is true and correct and any attached copy is a true copy of the original document.

Barry M. Shuman
Barry M. Shuman

Date: November 13, 2007

OMB No. 0651-0011 (exp. 4/94)

Mail documents to be recorded with required cover sheet information to:

Mail Stop Assignment Recordation Services
Director of the U.S. Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450

ASSIGNMENT OF PATENT RIGHTS FOR THE UNITED STATES

FOR VALUE RECEIVED, I, Timothy Kirk Gallaher, Lena A. Basile, Kai-Jin Wu, Yi Zhao, and Unnati Jariwala, hereby sell, assign, transfer and convey unto

University of Southern California, a corporation of California

having a place of business at

USC Stevens
University of Southern California
Hughes Center Suite EEB 131
3740 McClintock Ave.
Los Angeles, CA 90089-2561

COPY

its successors, assigns and legal representatives (hereinafter called the "Assignee"), the entire right, title and interest, for the United States, in and to certain inventions relating to for which an application for United States Letters Patent entitled:

SECRETED PROTEIN FACTOR AND CELL MEMBRANE-BOUND SPLICE VARIANT

attorney docket number 89188.0115,

filed in the U.S. Patent and Trademark Office with this assignment,
 filed with the U.S. Patent and Trademark Office on June 29, 2005, and assigned Serial Number 10/541,086;

and all divisions, and continuations thereof, and all Letters Patent of the United States which may be granted thereon, and all reissues and extensions thereof; and we hereby authorize and request the Commissioner for Patents and Trademarks of the United States to issue all Letters Patent upon said inventions to the Assignee or to such nominees as it may designate.

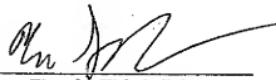
AND we authorize and empower the said Assignee or nominees to invoke and claim for any application for patent or other form of protection for said inventions filed by it or them, the benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for it, and to invoke and claim such right of priority without further written or oral authorization from us.

AND we hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any assignment, consent to file or like document which may be required in the United States for any purpose and more particularly in proof of the right of said Assignee or nominees to claim the aforesaid benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for it.

AND we hereby covenant that we have the full right to convey the entire right, title and interest herein assigned, and that we have not executed, and will not execute, any agreement in conflict herewith.

AND we hereby covenant and agree that we will communicate to said Assignee or nominees all facts known to us pertaining to said inventions, and testify in all legal proceedings, sign all lawful papers, execute all continuing and reissue applications, make all rightful oaths and declarations and in general perform all lawful acts necessary or proper to aid said Assignee or nominees in obtaining, maintaining and enforcing all lawful patent protection for said inventions in the United States.

IN TESTIMONY WHEREOF, I hereunto set my hand this 3rd day of July
2006. Timothy Kirk Gallaher

By: 
Timothy Kirk Gallaher

STATE OF California
COUNTY OF Los Angeles ;

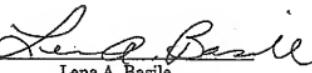
On July 3, 2007, before me Edith Romero, Notary Public
Notary Public, personally appeared Timothy Kirk Gallaher personally known to me (or proved to
me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within
instrument and acknowledged to me that he/she executed the same in his/her authorized capacity,
and that by his/her signature on the instrument the person, or the entity upon behalf of which the
person acted, executed the instrument.



WITNESS my hand and official seal.

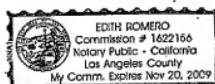
By: 
Signature of Notary

IN TESTIMONY WHEREOF, I hereunto set my hand this 3rd day of July
2006. Lena A. Basile

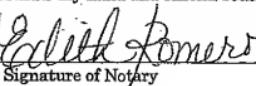
By: 
Lena A. Basile

STATE OF California
COUNTY OF Los Angeles ;

On July 3, 2007, before me Edith Romero, Public Notary
Notary Public, personally appeared Lena A. Basile personally known to me (or proved to me on the
basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument and
acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her
signature on the instrument the person, or the entity upon behalf of which the person acted, executed
the instrument.



WITNESS my hand and official seal.

By: 
Signature of Notary

IN TESTIMONY WHEREOF, I hereunto set my hand this 20 day of July,
2006. *1/20*

By: Kai-Jin Wu
Kai-Jin Wu

STATE OF California
COUNTY OF Los Angeles

On 07/20/07, before me Kai-Jin Wu, Kai Rodriguez, Notary
Public, personally appeared Kai-Jin Wu personally known to me (or proved to me on the basis
of satisfactory evidence) to be the person whose name is subscribed to the within instrument and
acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her
signature on the instrument the person, or the entity upon behalf of which the person acted, executed
the instrument.

WITNESS my hand and official seal.

By: Kai Rodriguez
Signature of Notary

IN TESTIMONY WHEREOF, I hereunto set my hand this 19 day of September,
2006. *NOT KJ*

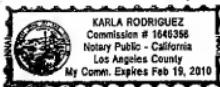
By: Yi Zhao
Yi Zhao

STATE OF California
COUNTY OF Los Angeles

On 19 September, before me Kai Rodriguez, Notary Public
Notary Public, personally appeared Yi Zhao personally known to me (or proved to me on the basis of
satisfactory evidence) to be the person whose name is subscribed to the within instrument and
acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her
signature on the instrument the person, or the entity upon behalf of which the person acted, executed
the instrument.

WITNESS my hand and official seal.

By: Kai Rodriguez
Signature of Notary



CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

State of California

County of Los Angeles

On July 20, 2007 before me, Karla Rodriguez, Notary Public
Date Name and Title of Officer (e.g., Jane Doe, Notary Public)
personally appeared Kai Jin Wu
Name(s) of Signer(s)



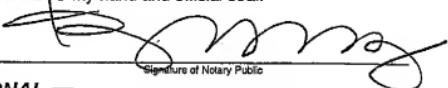
Place Notary Seal Above

personally known to me

(or proved to me on the basis of satisfactory evidence)

to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that, by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.


Signature of Notary Public

OPTIONAL

Though the information below is not required by law, it may prove valuable to persons relying on the document and could prevent fraudulent removal and reattachment of this form to another document.

Description of Attached Document

Title or Type of Document: _____

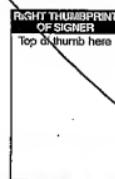
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Capacity(ies) Claimed by Signer(s)

Signer's Name: _____

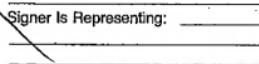
- Individual
- Corporate Officer — Title(s): _____
- Partner — Limited General
- Attorney in Fact
- Trustee
- Guardian or Conservator
- Other: _____



Signer is Representing: _____

Signer's Name: _____

- Individual
- Corporate Officer — Title(s): _____
- Partner — Limited General
- Attorney in Fact
- Trustee
- Guardian or Conservator
- Other: _____



IN TESTIMONY WHEREOF, I hereunto set my hand this 26 day of July,
2007.

By: Unnati Jariwala
Unnati Jariwala

STATE OF California
COUNTY OF Los Angeles }

On 26 July 2007, before me Karla Rodriguez,
Notary Public, personally appeared Unnati Jariwala personally known to me (or proved to me on the
basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument and
acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her
signature on the instrument the person, or the entity upon behalf of which the person acted, executed
the instrument.

WITNESS my hand and official seal.

By: X Monica May
Signature of Notary



020104/0060 PAGE 2

ASSIGNEE:

UNIVERSITY OF SOUTHERN
CALIFORNIA/USC STEVENS
3740 S. MCCLINTOCK AVENUE
HUGHES CENTER SUITE EEB 131
LOS ANGELES, CALIFORNIA 90089-2561

SERIAL NUMBER: 10541086

FILING DATE: 03/11/2007

PATENT NUMBER:

ISSUE DATE:

TITLE: SECRETED PROTEIN FACTOR AND CELL MEMBRANE-BOUND SPLICE VARIANT

ASSIGNMENT SERVICES BRANCH
PUBLIC RECORDS DIVISION

PATENT ASSIGNMENT

Electronic Version v1.1
Stylesheet Version v1.111/13/2007
500397474

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

CONVEYING PARTY DATA

Name	Execution Date
Timothy Kirk Gallaher	07/03/2007
Lena A. Basile	07/03/2007
Kai-Jin Wu	07/20/2007
Unnati Jariwala	07/25/2007
Yi Zhao	09/19/2007

RECEIVING PARTY DATA

Name:	University of Southern California/USC Stevens
Street Address:	3740 S. McClintock Avenue
Internal Address:	Hughes Center Suite EEB 131
City:	Los Angeles
State/Country:	CALIFORNIA
Postal Code:	90089-2561

PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	10541086

CORRESPONDENCE DATA

Fax Number: (310)785-4601
Correspondence will be sent via US Mail when the fax attempt is unsuccessful.
 Phone: 310-785-4600
 Email: ljmccleure@hhlaw.com
 Correspondent Name: HOGAN & HARTSON LLP.
 Address Line 1: 1999 AVENUE OF THE STARS
 Address Line 2: SUITE 1400
 Address Line 4: LOS ANGELES, CALIFORNIA 90067

10541086
\$40.00
CH

ATTORNEY DOCKET NUMBER:	89188.0115

NAME OF SUBMITTER:

Barry M. Shuman

Total Attachments: 6

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